

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: : PATENT  
TRAN M. NGUYEN, et al. :  
Serial No.: 10/649,921 :  
Filed: August 27, 2003 : Group Art Unit: 1764  
For: ADDITIVES TO ENHANCE METAL : Examiner: .  
AND AMINE REMOVAL IN REFINERY : Docket No.: 194-29741-US  
DESALTING PROCESSES : Date: January 12, 2004

TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT BEFORE MAILING DATE OF EITHER A FINAL  
ACTION OR NOTICE OF ALLOWANCE — 37 CFR §1.97(c)

Box Non-Fee Amendment  
Commissioner for Patents  
P O Box 1450  
Alexandria, Virginia 22313-1450

Sir:

1. Time of Transmittal of Accompanying Information Disclosure Statement

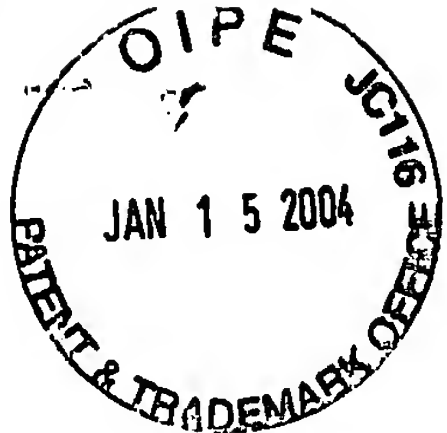
The Information Disclosure Statement transmitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in §1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but *before* the mailing date of either:

- (1) a final Action under §1.113 or
- (2) a Notice of Allowance under §1.311, whichever occurs first.

2. Certification, Petition and Fee

Accompanying this transmittal is:

- ☒ A. a certification as specified in 37 CFR §1.97(e).
- ☐ B. the fee set forth in 37 CFR §1.17(p) for submission of an Information Disclosure Statement under §1.97(c). (\$180.00).



3. Fee Payment

☐ Applicant elects the option to pay the fee set forth in 37 CFR §1.17(p) for submission of an Information Disclosure Statement under §1.97(c) (\$180.00).

Fee due: \$180.00.

4. Method of Payment of Fee

☐ Attached is a check for \$\_\_\_\_\_.

☐ Please charge Account 02-0429 \$180.00. If any additional petition fees are due for this item only, please charge Account 02-0429.

5. Miscellaneous

☒ In an abundance of caution, a copy of the PCT Search Report for International application no. PCT/US03/27116 is being provided. All of the references mentioned in this Report except WO 00/52114 (provided herewith) were previously provided in the Information Disclosure Statement when the application was filed on August 27, 2003 and thus are not included herewith.

Respectfully submitted,  
TRAN M. NGUYEN, et al.

David L. Mossman  
Registration No. 29,570  
Attorney for Applicant  
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Madan, Mossman & Sriram, P.C.  
2603 Augusta, Suite 700  
Houston, Texas 77057-5638

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Facsimile: 512/219-4036

I hereby certify that this correspondence, and any attachments referred to, is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on

JANUARY 12, 2004

Date of Deposit

David L. Mossman

Date

1/12/2004



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Serial No.: 10/649,921 :  
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DESALTING PROCESSES : Date: January 12, 2004

CERTIFICATION FOR SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37 CFR §1.97(e)

Mail Stop Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

1. Identification of Information Disclosure Statement for which this Certification is Being Made

This certification is being made for the Supplemental Information Disclosure

☒ Statement accompanying this certification;  
☐ filed: \_\_\_\_\_.

2. Certification

I, the person signing below, certify:

- ☒ that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement. 37 CFR §1.97(e)(1).
- ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application. 37 CFR §1.97(e)(2), or that no item of information contained in the Information Disclosure Statement, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 CFR §1.97(e)(2).



3. Identification of Person Making This Certification

The person making this certification is:

☐ The inventor who signs below.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Typed or printed name

☐ A person who is substantially involved in the preparation or prosecution of the application, and who is associated with the inventor, with the assignee, or with anyone to whom there is an obligation to assign the application (37 CFR §1.56(c)) and who signs below:

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Typed or printed name

\_\_\_\_\_  
Address

\_\_\_\_\_  
Relationship

- ☒ The attorney who signs below on the basis of:
- |                                     |  |
|-------------------------------------|--|
| <input type="checkbox"/>            | the information supplied by the inventor.                                      |
| <input checked="" type="checkbox"/> | the information supplied by an individual designated in §1.56(c).              |
| <input type="checkbox"/>            | the information in the attorney's file.  |
| <input type="checkbox"/>            | information found by the attorney while conducting research on another matter. |

Respectfully submitted,  
TRAN M. NGUYEN, et al.

*David L. Mossman*  
David L. Mossman

Registration No. 29,570

Attorney for Applicant

Telephone No. 512/219-4026

Facsimile No. 512/219-4036

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JANUARY 12, 2004  
Date of Deposit

*David L. Mossman* 1/12/2004  
David L. Mossman Date



Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

**(Use as many sheets as necessary)**

Sheet 1 of 2

**Complete if Known**

Application Number	10/649,921
Filing Date	08/27/2003
First Named Inventor	Tran M. Nguyen, et al.
Art Unit	1764
Examiner Name	
Attorney Docket Number	194-29741-US

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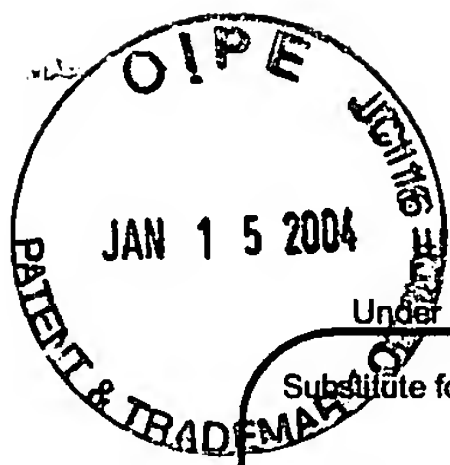
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> ( <i># known</i> )				
		WO 00/52114	09-08-2000	Nguyen, et al.		
						✓

Examiner Signature		Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.**

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Sheet	2	of	2	Application Number	10/649,921
				Filing Date	08/27/2003
				First Name and Inventor	Tran M. Nguyen, et al.
				Art Unit	1764
				Examiner Name	
				Attorney Docket Number	194-29741-US

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		PCT International Search Report for International Application No. PCT/US02/27116, 12/23/2003.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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